

Serre Problem: large g .

Lecture 11

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We will study today results concerning Serre's problem for large values of g .

Let C/\mathbb{F}_q be a genus g curve. If it attains the Weyl bound and q is a square, Ihara proved that

$$g \leq \frac{1}{2}(q - q^{1/2}).$$

Proof. (Ihara's bound) Let us write $\#C(\mathbb{F}_q) = q + 1 - \sum_{\alpha=1}^{2g} \pi_\alpha$, where π_α are the eigenvalues of the Frobenius endomorphism, so $|\pi_\alpha| = q^{1/2}$. If the curve attains the Weyl bound, one has $\pi_\alpha = q^{1/2}$. Then $\#C(\mathbb{F}_{q^2}) = q^2 + 1 - \sum_{\alpha=1}^{2g} q = q^2 + 1 - 2gq$, but obviously $\#C(\mathbb{F}_{q^2}) \geq \#C(\mathbb{F}_q)$. Then, the result follows. \square

Example 0.1. The curve $C : x^{q^{1/2}+1} + y^{q^{1/2}+1} + z^{q^{1/2}+1} = 0$, where q is a square, has genus equal to $g = \frac{1}{2}(q - q^{1/2})$ and $\#C(\mathbb{F}_q) = q^{3/2} + 1 = q + 1 + 2gq^{1/2}$. Hence, Ihara's bound is sharper as possible.

To check it, let us consider the involution $\mathbb{F}_q \rightarrow \mathbb{F}_{q^{1/2}} : x \rightarrow \bar{x} = x^{q^{1/2}}$. The equation of the curve becomes $x\bar{x} + y\bar{y} + z\bar{z} = 0$. Notice that $x\bar{x} = -y\bar{y} - z\bar{z}$ has 1 solution if $y\bar{y} + z\bar{z} = 0$ and $q + 1$ otherwise. The expression $y\bar{y} + z\bar{z}$ is equal to zero if and only if $y = z = 0$ or for $q + 1$ different values of z if $y \neq 0$.

Proposition 0.2. Let $\phi : C \rightarrow C'$ be a regular and non-constant morphism. If C attains the Weyl bound, also C' does it.

Proof. If C attains the Weyl bound, then all the eigenvalues of the Frobenius endomorphism in $J(C)$ are equal to $q^{1/2}$. The morphism ϕ induces $\phi^* : J(C') \rightarrow J(C)$, then all the eigenvalues of the Frobenius endomorphism for $J(C')$ are also equal to $q^{1/2}$. \square

1 Oesterlé bound

Let C/\mathbb{F}_q be a genus g curve. Let us denote by π_α the Frobenius eigenvalues ordered by $\pi_1, \dots, \pi_g, \bar{p}i_1, \dots, \bar{p}i_g$. We also write $\pi_\alpha = q^{1/2}e^{i\phi_\alpha}$, where $0 \leq \phi_\alpha \leq \pi$. By $N_n(C)$ we denote $\#C(\mathbb{F}_{q^n}) = q^n + 1 - \sum_{\alpha=1}^g (\pi_\alpha + \bar{\pi}_\alpha) = q^n + 1 - 2 \sum_{\alpha=1}^g q^{n/2} \cos(n\phi_\alpha)$.

The Zeta-function of C is given by

$$Z(T) = \exp\left(\sum_1^\infty \frac{N_n(C)T^n}{n}\right) = \frac{P(T)}{(1-T)(1-qT)},$$

where $P(T) = \prod_{\alpha=1}^{2g} (1 - \pi_\alpha T)$.

If we denote by a_d the number of Galois orbits of points of degree d (that is, in \mathbb{F}_{q^d}), we clearly have $N_n = \sum_{d|n} da_d$. Moreover,

$$Z(T) = \prod_{d \geq 1} \frac{1}{(1-T^d)^{a_d}}. \quad (1)$$

Let $f(\theta)$ be a trigonometric polynomial of the form

$$f(\theta) = 1 + 2 \sum_{n \geq 1} c_n \cos(n\theta) = \sum_{n \in \mathbb{Z}} c_n e^{in\theta}, \quad c_0 = 1, c_{-n} = c_n.$$

To f , we can attach polynomials

$$\Psi_d(t) = \sum_{d|n \geq 1} c - nt^n, \quad \text{and } \Psi(t) = \Psi_1(t).$$

We will prove

$$\sum_{\alpha=1}^g f(\phi_\alpha) + \sum_{d \geq 1} da_d \Psi_d(q^{-1/2}) = g + \Psi(q^{-1/2}) + \Psi(q^{1/2}).$$

Proof. We have

$$\begin{aligned} \sum_{\alpha=1}^g f(\phi_\alpha) &= g + 2 \sum c_n \cos(n\phi_\alpha) = g + 2 \sum c_n \sum \cos(n\phi_\alpha) = \\ &= g + \sum_{n \geq 1} c_n (q^{n/2} + q^{-n/2} - q^{-n/2} N_n). \end{aligned}$$

We only need to prove that

$$\sum da_d \Psi_d(q^{-1/2}) = \sum c_n q^{-n/2} N_n.$$

□

Example 1.1. 1. If $f = 1$, $c_n = 0$ and $\Psi_d = 0$, we get $g = g$.

2. If $f = 1 + \cos(\theta)$, we recover Weil formula, namely,

$$N = q + 1 - q^{1/2} \sum_{\alpha} 2 \cos(\phi_\alpha).$$

If $f(\theta) \geq 0$ for all θ and $c_n \geq 0$ for all n , we say that f is doubly positive and we write $f \gg 0$.

If $f \gg 0$, then $N - 1 \leq \frac{g + \Psi(q^{1/2})}{\Psi(q^{-1/2})}$, or equivalently

$$g \geq (N - 1)\Psi(q^{-1/2}) - \Psi(q^{1/2}).$$

Example 1.2. For $N = q^2 + 1$, we get with $f = 1 + \sqrt{2}\cos(\theta) + \frac{1}{2}\cos(2\theta)$ that

$$g \geq \frac{\sqrt{2}}{2}(q^{3/2} - q^{1/2}).$$

2 The Drinfeld-Vladut bound

We denote $A^+(q) = \limsup_{g \rightarrow \infty} \frac{N_q(g)}{g}$.

Theorem 2.1. (Drinfeld-Vladut) One has $A^+(q) \leq q^{1/2} - 1$.

Theorem 2.2. (Ihara, Zink) If q is a square, then $A^+(q) \geq q^{1/2} - 1$.

Theorem 2.3. (Elkies, Howe, Kresch, Poonen, Wetherell, Zieve, 2002) For all prime power q , there is a positive constant c_q with the following property: for every integer $g \geq 0$, there is a genus g curve over \mathbb{F}_q with at least $c_q g$ rational points. Moreover, there is a constant $c > 0$ such that for every q , and for every sufficiently large g , there is a genus g curve over \mathbb{F}_q that has at least cg rational points.

3 Exercises

Exercise 3.1. Finish the argument in example 0.1.

Exercise 3.2. Prove the equality 1.

Exercise 3.3. Check the statement in example 1.1.

Exercise 3.4. Check the statement in example 1.2.

References

- [1] F.Q. Gouvêa, *Rational Points on Curves over Finite Fields*, Lecture notes given at Havard University by J.-P. Serre in Fall 1985.